

COVER SHEET FOR AMENDMENT OF POST-TRAVEL SUBMISSION

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Instructions: Use this form as a cover sheet for any paperwork you may need to submit to the Office of Public Records in order to make your Privately Sponsored Post-Travel Submission complete in accordance with Rule 35. Only complete this form if you need to submit an amendment to a post-travel filing you have already submitted.

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING.

Name of Traveler: John Crews

Employing Office/Committee: Toomey

Private Sponsor(s) (List all): DTCC

Travel Date(s): May 4-5, 2017

Description/Title of Attached Forms: Itinerary (final version)

Purpose of Amendment (describe the reason for amending original submission): Post-travel submission
must be amended with the Office of Public Records in SH-232.

06/07/2017
(Date)

John Crews
(Signature of Traveler)

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Securing Today. Shaping Tomorrow.®

Financial Markets Discussion Series Working Agenda

Thursday, May 4, 2017

3:00 p.m. Depart for New York Penn Station via Amtrak Acela 2172

- *Arrive Penn Station at 5:46 p.m.*

6:00 p.m. Depart New York Penn Station en route Hilton Doubletree Financial District

- *8 Stone Street, New York, NY 10004*

6:30 p.m. Arrive Hotel and Proceed to Check-In

Friday, May 5, 2017

8:00 a.m. Gather in Lobby of Hilton Doubletree

- *Please check out of hotel prior to gathering*

8:15 a.m. Luggage Drop

- *Location: Hilton lobby*
- *Depart en route 55 Water Street*

8:20 a.m. Depart en route 55 Water Street

- *S&P Global escort from security desk – entrance on right side of building*

8:30 – 9:00 a.m. Networking Breakfast

- *This will provide staff an opportunity to informally meet the presenters before the day officially begins*

9:00 – 10:00 a.m. S&P Global Presents "State of the Financial Services Sector"

- *Presenters from S&P Global's Economist Team*
- *Staff will learn about current trends in market intelligence, as well as how S&P Global plays a key role in the financial markets.*

10:00 – 10:45 a.m. S&P Global "Spotlight on FinTech" Panel

- *Representatives from S&P Global's Market Intelligence Team*
- *Discussion topics will include how Fintech advancements will change the way market predictions are made.*

10:45 – 11:00 a.m. Break

11:00 – 11:10 a.m. DTCC Background from Vice Chairman Larry Thompson

- *Mr. Thompson will provide a brief introduction to DTCC, its history and role as a critical market infrastructure.*

11:10 – 11:50 a.m. Fintech roundtable with Managing Director and Chief Technology Architect Rob Palatnick

- *Discussion topics will include cloud computing and advancements in Fintech. These topics are extremely timely and will assist staff with understanding developments in the technology.*

11:50 – 12:15 p.m. Networking Lunch with DTCC President and CEO, Mike Bodson

- *This lunch will provide staff with an opportunity to have an informal, off the record discussion with DTCC President and CEO Mike Bodson. Mr. Bodson has extensive knowledge of the capital markets and will be able to provide an inside view into the successes and challenges facing CEO's today.*

12:15 – 1:15 p.m. Clearance and Settlement Overview and Industry Initiatives Discussion with Managing Director and Head of Clearing Services Murray Pozmanter

- *Discussion topics will include the changing role of central counterparties in the settlement and clearing of financial transactions. As the main provider of settlement and clearing services for the U.S. capital markets, this topic is important for staff to understand and will provide a deeper insight into a lesser known aspect of the trade lifecycle.*

1:15 – 2:15 p.m. Cybersecurity roundtable with DTCC Chief Information Security Officer Stephen Scharf

- *Cybersecurity is one of the most important topics of discussion on Capitol Hill and DTCC takes its role as a market risk mitigate very seriously. This panel will educate staffers on the duplicative regulatory cyber frameworks and concern around regulators protecting data.*
- *Other participants include: Jerry Perullo, CISO, NYSE-ICE*

2:20 p.m. Staff depart for NYSE

- *Location: 11 Wall Street.*

2:30 - 3:15: Meeting with Senior NYSE business leaders from our equities, ETF, and listings groups.

- *Staff also will meet with Anthony Albanese, Chief Regulatory Officer, to discuss the NYSE Regulation side of NYSE Group. These discussions are important to staff when considering changes to exchanges.*

3:15 - 3:45 p.m. Tour of recently renovated historic space

- *Including the NYSE Big Board Club and historic office space on the 6th and 7th floors of 11 Wall*
- *This tour will provide staff with an in-depth, behind the scenes look of how an exchange operates and evolves with the changes in technology over time.*

3:45 - 4:15 p.m. Tour of the NYSE trading floor and attend the closing bell

- *It is important for staff to see what an electronic trading floor looks like during operation in person.*

4:30 p.m. Networking Reception

- *This reception will give staff an opportunity to interact in an informal setting with the presenters. They will be able to ask questions and make connections that will be useful upon their return to Washington.*

6:00 p.m. Depart for New York Penn Station

7:00 p.m. Depart for Washington DC via Amtrak Acela 2171

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